

OCRWM FY 2009 Integrated Assessment Schedule

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Plan	Status/ Results/ Comments	Assessment Number/ Activity Type	Organization Being Assessed/ Location	Scope
10/2008	In Progress	BQA-SI-09-012 Internal Surveillance	BSC Las Vegas, NV	Training
10/2008	Field Work Complete	SA-09-01 Supplier Audit	Transnuclear, Inc. Columbia, MD	Supplier audit for TAD Canister engineering services
10/2008	Report Issued Overall unsatisfactory. Two conditions adverse to quality were identified.	BQA-SI-09-011 Internal Surveillance	BSC Las Vegas, NV	Verify that the errors submitted by Operation Technology Inc. (OTI) to BSC in accordance with Subcontract QA-HC5-03167 for evaluation of 10 CFR 21 applicability is being conducted in accordance with approved procedures.
10/2008	In Progress	OQA-SI-09-009 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	LA-74, Evaluate sample of LA changes to assure that configuration control is maintained.
10/2008	In Progress	OQA-SI-09-016 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Evaluate the processes and performance of the RW to external organization interface activities associated with the configuration control of the LA/SAR
10/2008	Report Issued One Level C CR 13069 and three Level D CRs 13071, 13072, and 13074 were issued.	OQA-SI-09-010 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	The Validation and Completeness and (C&A) Reviews described in AP-REG-019, BSC's LS-PRO-2005, and Lead Lab's LS-PRO-002 evaluation
10/2008	Report Issued Line initiated CR 13014 to address RAIRS software issues. CR 13072, generated as a result of Surveillance OQA-SI-09-010, is also applicable to the observation in this report dealing with date discrepancies resulting from multiple drafts of RAI responses and a separate CR was not initiated.	OQA-SI-09-015 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Evaluate the effectiveness, accuracy, and currency of the automated systems used to manage the RAI response processes (RAIR)
10/2008	Scheduled	OQA-SI-09-014 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Based upon a sample of those proposed changes that have been determined to 1) have a potential impact upon the LA and 2) a sample of those that were determined to not have an impact on the LA, evaluate criteria used and effectiveness of the Screening Process and any Impact on the LA; and verify that the changes have been made as identified in accordance with OCRWM procedures and that the changes are transparent, accurate, and complete.(LL, BSC,DOE)
10/2008	In Progress	OQA-SI-09-006 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	YMP's Implementation of 10 CFR Part 21, Reporting of Defects and Noncompliance
11/2008	Report Issued Complete, no CRs, overall results were satisfactory.	BQA-SI-09-015 Internal Surveillance	BSC Las Vegas, NV	This is the first in a series of FY2009 surveillances planned to maintain oversight of traceability of Quality Management Directive (QMD) requirements to implementing mechanisms. Each surveillance will focus on a single QMD criterion unless the number of requirements requires the criterion to be split among several surveillances.
11/2008	Field Work Complete	BQA-SI-09-014 Internal Surveillance	BSC Las Vegas, NV	Evaluate the BSC Problem Identification and Resolution process.
11/2008	Scheduled	OQA-SI-09-001 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Trending Program effectiveness following addition of common cause analysis. Follow-up to surveillance OQA-SI-08-054 and CR 12309.

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11/2008	Scheduled	OQA-SI-09-011 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Determine if trend program event codes used are appropriate for the project. Have any level A or B CRs been initiated as a result of trending? Do the QA organizations analyze CR documentation or reports to identify quality trends?
11/2008	In Progress	OQA-SI-09-018 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Review of Oversight of the OCRWM Corrective Action Program (Nov.)
11/2008	Scheduled Surveillance BQA-SI-08-051 was rescheduled as BQA-SI-09-002 due to change in date for the surveillance to be performed.	BQA-SI-09-002 Internal Surveillance	BSC Las Vegas, NV	Follow-up on those Condition Reports (CRs) generated during the first half of Fiscal Year (FY) 2008 surveillances to verify that corrective action by the responsible line organization was accomplished adequately. Follow-up will also include those CRs from FY 2007 surveillances that were not closed at the time of the previous surveillance.
11/2008	Report Issued Complete, no CRs, overall satisfactory implementation.	BQA-SE-09-013 Supplier Surveillance	Anatech Corporation San Diego, CA	Verification of compliance to BSC Purchase Order QA-HC4-03115, Task Order 03, for Waste Package Structural Design Services.
11/2008	Report Issued Complete, NO CRs, overall satisfactory implementation.	BQA-SE-09-016 Supplier Surveillance	Applied Technical Services, Inc. Marietta, GA	Waste Package Material Testing activities in Marietta, GA, Source Verification Plan Hold Point #5.
11/2008	In Progress	OQA-SI-09-017 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Evaluate the effectiveness of OCRWM, M&O, Lead Lab, YMP, and HQ interfaces relative to input to changes and responses to NRC inquires.
11/2008	Scheduled	OQA-SI-09-012 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Sample level A and B CRs with cause analyses that were approved approximately 6 months ago. Grade analyses using industry grading sheet, measure effectiveness of corrective action taken. INPOR PI How to, page 27. NRC Inspection Procedure 71152 used as a source for this plan.
11/2008	Scheduled	OQA-SI-09-013 Internal Surveillance	BSC Las Vegas, NV	RAI Training
12/2008	Scheduled Originally scheduled in October, 2008	OQA-SI-09-008 Internal Surveillance	Sandia National Laboratories, Office of Civilian Radioactive Waste Management Las Vegas, NV	Review of CRs and associated trends specific to TDMS
12/2008	Scheduled	OQA-SE-09-007 Supplier Surveillance	NAC International Norcross, GA	Engineering services for TAD Canister system.
12/2008	Scheduled	SA-09-04 Supplier Audit	Atomic Energy of Canada, Design and Engineering Offices Mississauga, Ontario	annual supplier audit
12/2008	Scheduled Originally scheduled 10/08	OQA-SI-09-002 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Evaluate selected completed Root Cause Analysis and Apparent for effectiveness with a focus on prevention of repetitive events and determine if trending is being used and evaluated as needed.
12/2008	Scheduled	09-DOE-AU-001 EM/RW Audit	Savannah River Site-Defense Waste Processing Facility Aiken, SC	Savannah River High-Level Waste Plutonium Disposition Project

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01/2009	Scheduled	BQA-SI-09-008 Internal Surveillance	BSC Las Vegas, NV	Graded application
01/2009	Scheduled	SA-09-03 Supplier Audit	U. S. Geological Survey Denver, CO	Audit of USGS QA program to QARD rev. 20
01/2009	Scheduled	OQA-SI-09-019 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Evaluate DOE Performance Indicators for the Corrective Action Program to determine if those indicators are appropriate to the scope of work being performed and if those indicators measure meaningful attributes.
01/2009	Scheduled	IA-09-01 Internal Audit	Sandia National Laboratories Las Vegas, NV	Audit of TDMS requested by Lead Lab Management
02/2009	Scheduled Surveillance BQA-SI-08-055 was rescheduled as BQA-SI-09-003 due to change in date for the surveillance to be performed.	BQA-SI-09-003 Internal Surveillance	BSC Las Vegas, NV	Evaluate compliance with design document interim changes process.
02/2009	Scheduled Audit postponed 90 days.	SA-09-02 Supplier Audit	American Tank and Fabricating Co. (AT&F) Cleveland, OH	Effectiveness implementation of the AT&F ASME Section III QA Program Manual (ASME QAPM), 1st Edition, Rev. 9, dated 04-02-08 and associated implementing procedures with regard to fabrication of the waste package closure mock-up for the BSC Subcontract QA-POA-03117
02/2009	Scheduled	IA-09-02 Internal Audit	Office of Civilian Radioactive Waste Management Las Vegas, NV	Project-Wide Vertical Slice Audit of PI&R (including M&O Contractor and Lead Lab)
03/2009	Scheduled	IA-09-03 Internal Audit	Sandia National Laboratories Las Vegas, NV	Functional Area Audit of All Activities at the Site (including the M&O and Lead Lab)